

National Licence Negotiations Advancing the OA Transition

- A view from Sweden

Anna Lundén, Head of Division, National Library of Sweden, Prague 7th of November



Part 1. Setting the scene

– background and current situation



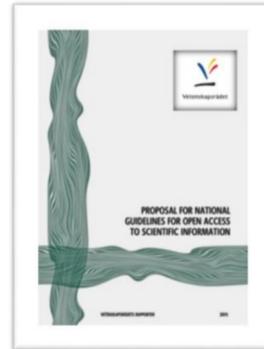
Bibsam Consortium - Governance Structure

- Formed in 1996 to support paradigm shift from print to e-journals, from individual subscriptions to big deals
- 85 participating organisations: HEIs, government agencies & research institutes
- 50 agreements, 300 e-resource packages
- Turnover approx. € 30 million (2019)
- Bibsam consortium steering committee
 - Chair: Vice Chancellor
 - 7 library directors from research libraries
 - 2 representatives working for the Bibsam Consortium at the National Library of Sweden



Important Steps Towards Open Access

- 2006 National Library of Sweden started OpenAccess.se as a project
- 2012 Recommendation from the EU commission to member states
- 2015 A Proposal for National Guidelines for Open Access to Scientific Information by the Swedish Research Council in collaboration with the National Library of Sweden
- 2016 Competitiveness Council EU's ministers for research and innovation agreed on conclusions for Open Science
- 2016 Swedish Research Bill "Knowledge in Collaboration"



Coordination of Open Access to Research Publications in Sweden

- 2017 Government Appropriation Directive to the National Library of Sweden for Coordination of Open Access to Research Publications in Sweden
- All scientific publications resulting from research financed with public funds shall be published immediately open access
- The transition shall be fully realized within 10 years* (2026)
- All stakeholders within the research system have a responsibility to work towards this goal



**The Swedish Research Bill, Knowledge in Collaboration 2016/17:50*

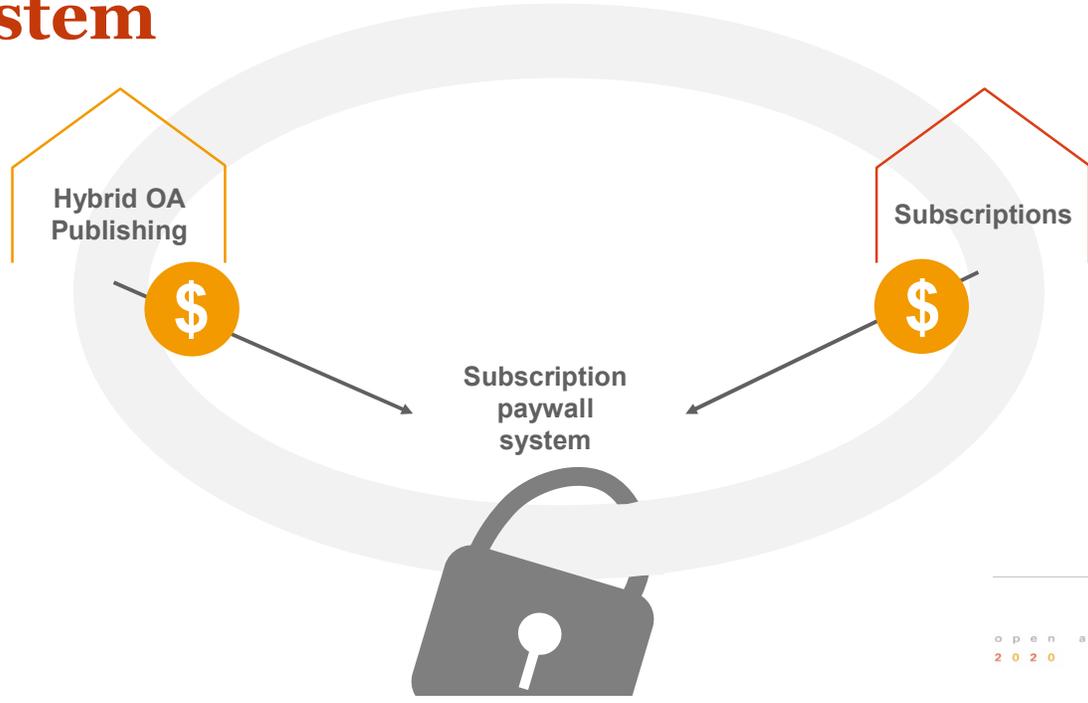


Novel Methods of Working

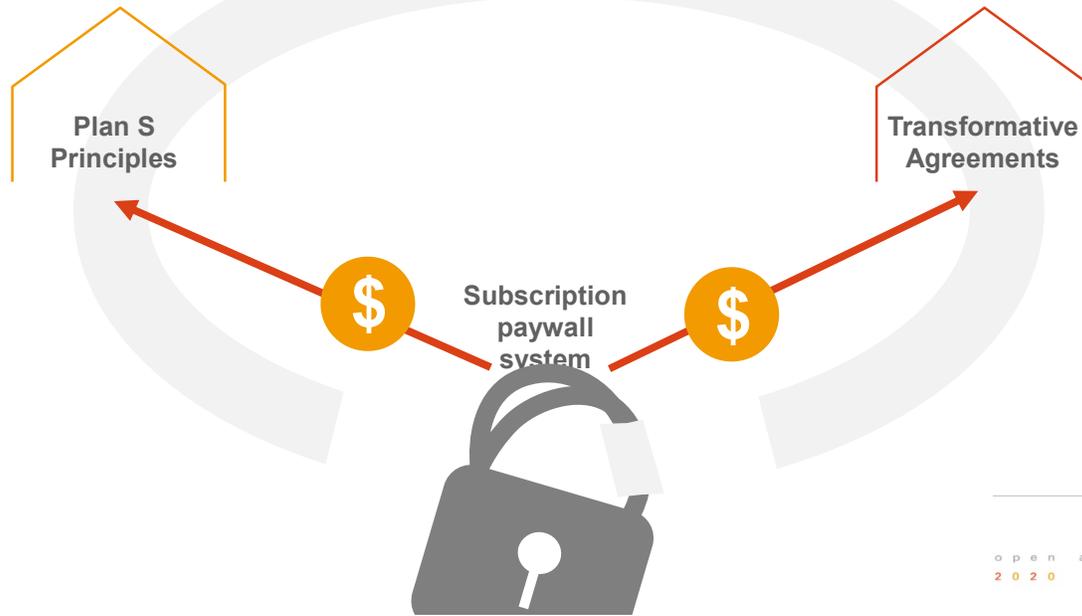
- The licensing and OA teams work closely together
- Common goal 2020: Lead the work moving from subscription-based to immediate OA
- OA parameters are negotiated with all journal publishers
- New OA terms and conditions are brought into agreements
- Publishing data is collected, analysed and used in publisher negotiations



Funding streams on both ends of the chain are feeding the current paywall system



To break the vicious cycle, we need to adopt measures to pull funding away from paywalls and toward open access

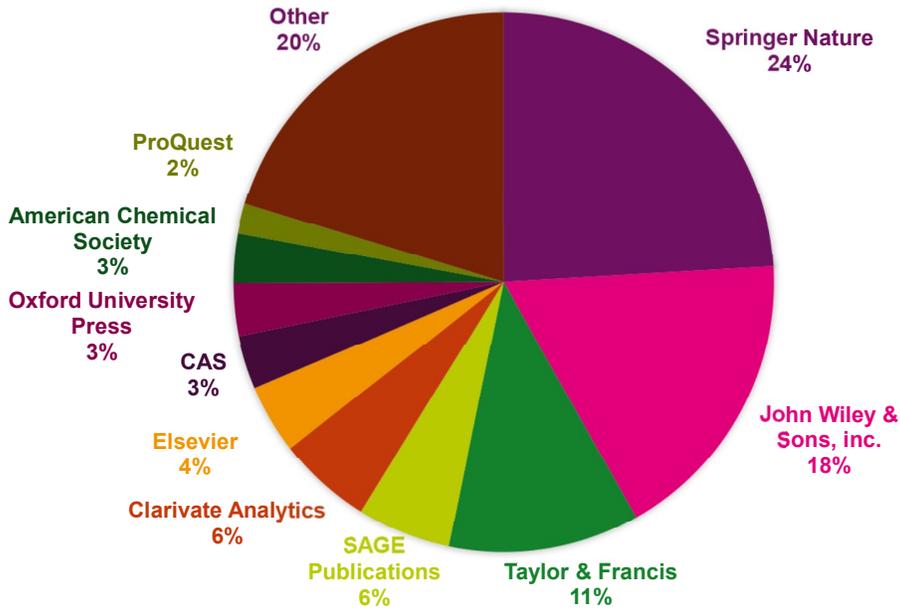


Transformative agreements

Publisher	Agreement period	Expected articles published 2019	Titles for publishing	Titles for reading	Gold OA journals included	APC per article
Cambridge University Press	2019 - 2021	250	330	398	Yes	1 870 £
De Gruyter	2018 - 2020	30	381	381	Yes	2 000 € hybrid 500 -2 000 € gold OA
Institute of Physics (IOP)	2017 - 2019	30	41	91	No	1 121 - 2 801 €
Royal Society of Chemistry (RSC)	2018 - 2020	200	40	40	No	1 793 €
Oxford University Press (OUP)	2019 - 2021	199	313	354	No	2 105 £
Springer Compact	2019 - 2021	1 580	1 882	2 272	No	2 289 €
Taylor & Francis	2018 - 2020	2 040	2 295	167 - 2 391	No	2 150 €



Top Ten Publisher Agreements 2019



Part 2. Recognizing our leverage

– or stepping away from the table is an option

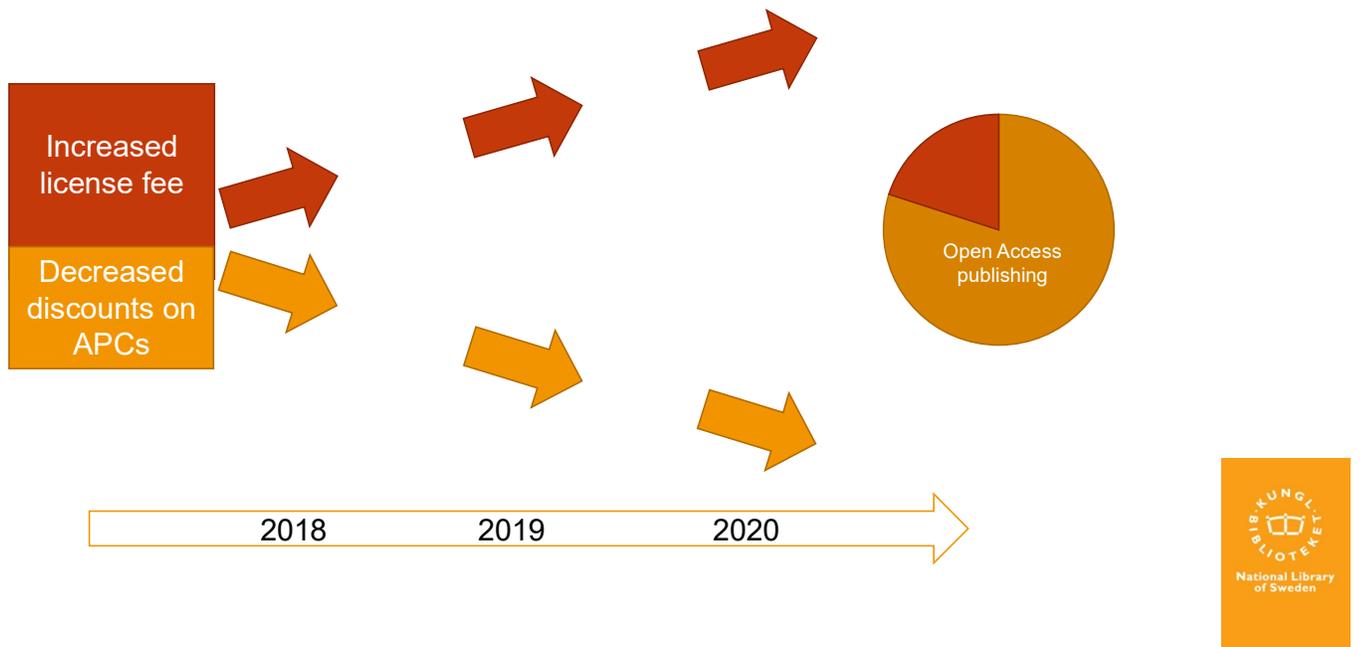


Bibsam's Preconditions

- Immediate OA to publications with corresponding author at Swedish HEIs
- Continued reading rights
- Sustainable cost-neutral transition in line with [OA2020](#) "there is enough money in the system"
- 3 year agreement period



Elsevier's offer before the cancellation



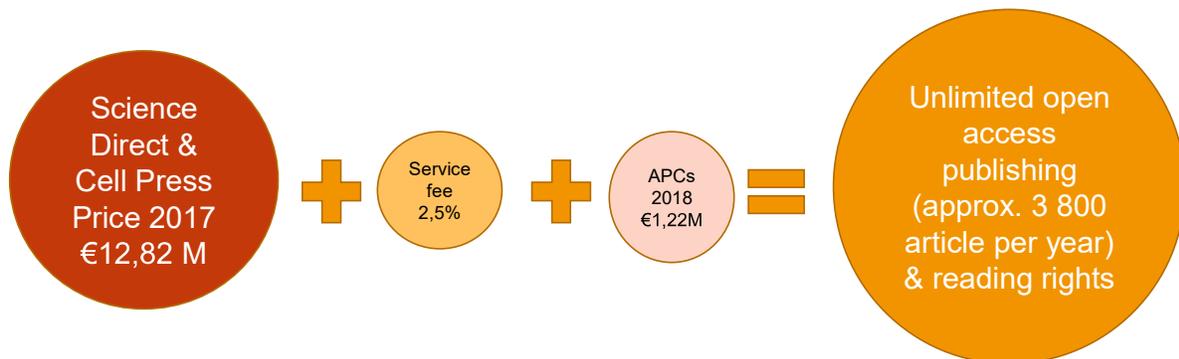
Cancellation process

- A communication plan was prepared
- Elsevier was informed in April 2018 that the business model offered did not meet the demands of the Bibsam Consortium
- The Agreement was terminated per the 30th of June 2018
- A press release was sent out with information about the cancellation – most spread ever
- Libraries provided support to researchers for alternative access ways
- A national evaluation group was formed to study the effects of the cancellation

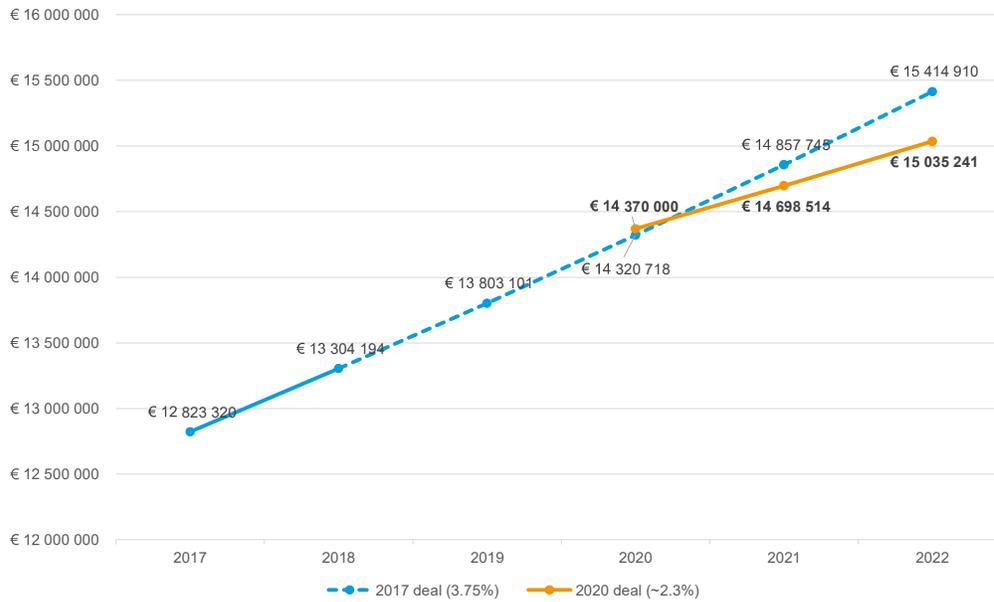


Elsevier's Current Offer

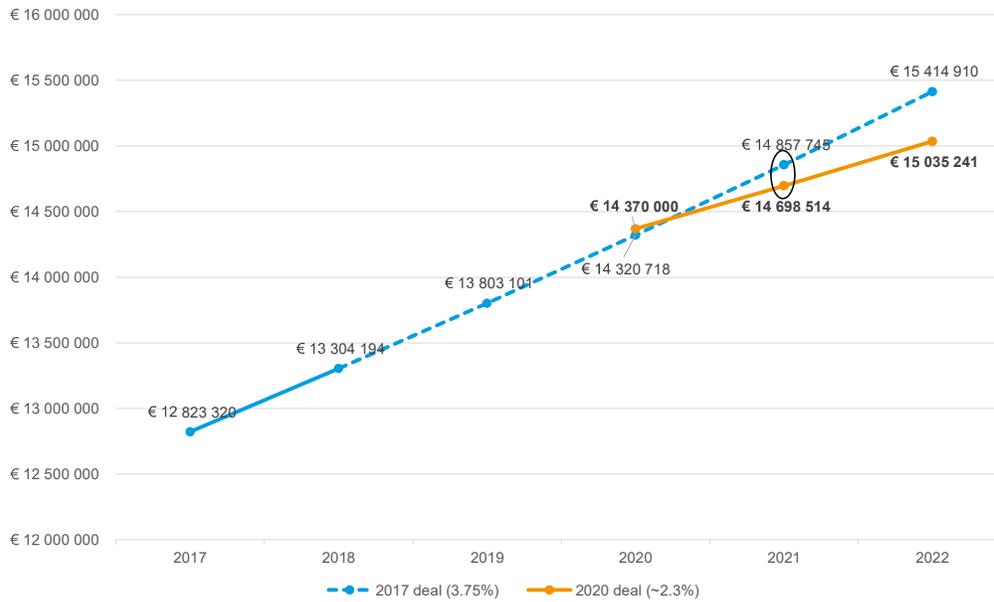
Transformative agreement start 2020



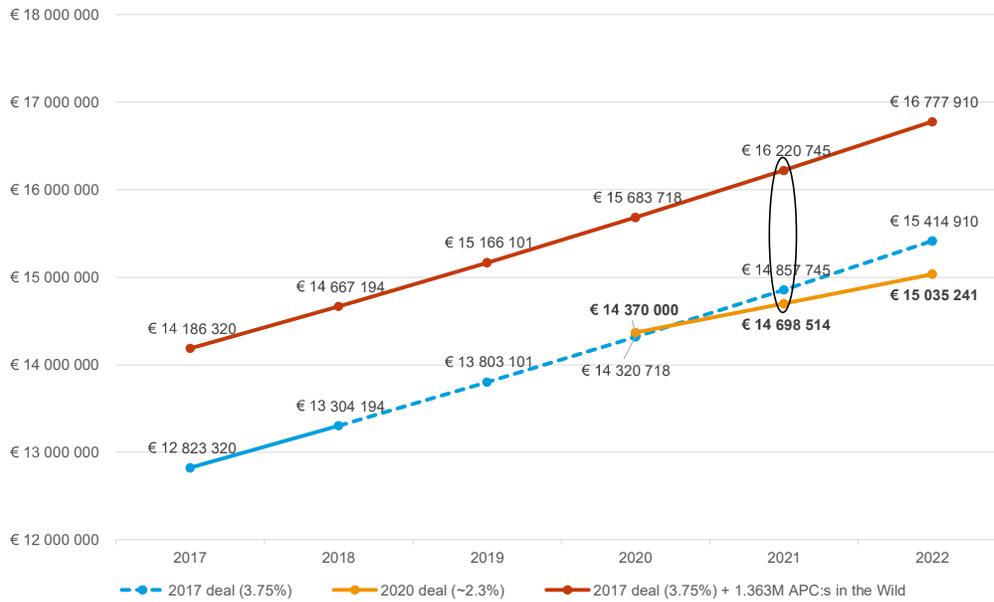
Elsevier Offer Comparison



Elsevier Offer Comparison



Elsevier Offer Comparison



Experiences gained

- Stepping away from the table is an option
- Support from the Vice Chancellors is crucial
- Preparation is key
- Get in control of your own publication data
- A communication plan is needed
- Researchers seem to manage fairly well without current access to Elsevier journals



Part 3. Moving forward

– next steps

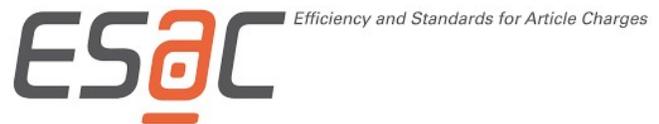


Important Networks for Cooperation

- OA2020 + ESAC
- EUA high level group on big deals
- LIBER
- Nordlic + NOAF



A grid of eight colored boxes containing the 5 Open Access Principles for Negotiations with Publishers. The top-left box is dark blue with a large yellow number '5' and the text "OPEN ACCESS PRINCIPLES for NEGOTIATIONS WITH PUBLISHERS". The other seven boxes are smaller and contain numbered principles with icons: 1. Yellow box with a handshake icon: "1 LICENSING & OPEN ACCESS GO HAND-IN-HAND"; 2. Green box with a price tag icon: "2 NO OPEN ACCESS, NO PRICE INCREASE"; 3. Orange box with an eye icon: "3 TRANSPARENCY FOR LICENSING DEALS: NO NON-DISCLOSURE"; 4. Light blue box with a circular arrow icon: "4 KEEP ACCESS SUSTAINABLE"; 5. Red box with a line graph icon: "5 USAGE REPORTS SHOULD INCLUDE OPEN ACCESS"; 6. Dark blue box with the word "LIBER" in a circle: "LIBER"; 7. Light blue box with the word "LIBER" in a circle: "LIBER".



Joint Nordic Checklist for OA terms

- Open access-model (incl cost, content)
- Article types (E.g. Article, Conference Paper / Proceedings Paper, Review)
- License type (E.g. CC-BY)
- No non-disclosure clauses
- Eligible authors – verifying, confirmation
- Metadata
- Information in reports and on invoices



Criteria for Gold OA Publishers

- Less administration (for researchers and staff)
- All articles published with a CC BY licence
- “Quality” indicators e.g. OASPA and COPE
- Discounts – minimum level?
- The agreements can be published publically open
- Data delivery to CrossRef
- Use of standards
- Usage statistics



Pilot Springer Nature Fully OA

- Research funders **share the cost** with participating organisations
- Prepayment of certain amount of articles in Springer Natures **fully OA journals**
 - 559 journals from BMC, SpringerOpen, Nature Research and Palgrave Macmillan
- Funders / researchers / HEIs already paid per article outside the agreement
- Cost control
- Cost efficient – discount and no invoice charges, flat fee per article 1,776 €
- **Ease of administration** for researchers and staff
- Signal value to sign agreement for gold OA journals
 - Bibsam already had an agreement for Springer Nature’s hybrid journals

Organisations	Share
31 participating organisations	50%
Swedish Research Council	25%
Formas	15%
Forte	5%
Vinnova	5%
Total:	100%



Support of OA initiatives

- OA Content Services
 - Knowledge Unlatched
 - Kriterium
 - Open Book Publishers
 - Open Library of Humanities
- OA Infrastructure Services
 - COAR
 - COUNTER
 - DOAJ
 - Sherpa/ROMEo
 - SPARC



Challenges Ahead

- Redirect funding streams from subscriptions to open access - from funders to HEIs
- Further develop infrastructure for administration of APCs in order to get a better national overview of the total cost of publication
- Redesign of current cost reallocation model within the consortium
- Funders to monitor and follow-up on their OA mandates
- Engage in communication with the research community, e.g. inform about transformative agreements
- Give incentives for OA publishing - change the merit system, research assessment and allocation system

Five Studies on OA

To address these challenges the National Library of Sweden initiated and coordinated further studies on OA during 2017-2019 in collaboration with main stakeholder groups of funders, HEIs, researchers and libraries:

1. The current research merit and resource allocation system versus incentives for open access
2. **Funding for a transition from a subscription to an open access publishing system**
3. Open access to scholarly monographs
4. Financial and technical support for converting peer reviewed scholarly journals from toll access to open access
5. Monitoring of compliance with open access policies and mandates

Delivered to the Government in March 2019 – new research bill for 2020



Recommendation

Form a high level group for national consultation to redirect payment streams

Shared responsibility between funders and HEIs for financing publication costs to scholarly publications

4 vice chancellors + 4 CEOs from research funders

- **Aim**

Road map and action plan for the national cooperation between funders and HEIs for redirecting the payment streams

- **Time frame**

October 2019 to December 2020, thereafter evaluation for further strategic decision making



Summary: National License Negotiations Advancing the OA Transition

- Political Support
- OA2020 initiative signed by key stakeholders
- Funders joining cOAlition S
- Support on highest University level in negotiation with publishers
- Collection and analysis of publication data to support these negotiations



Thank you!

Questions?
anna.lunden@kb.se

